

## YOUR FIRST 30 DAYS WITH AI

# Risk & Compliance Officers

Five practical actions for compliance managers, GRC professionals, and regulatory affairs teams who want to use AI more confidently -- and more responsibly -- starting this month.

*These five actions do not require technical knowledge or special tools. They require professional judgment -- which you already have. Each one takes under 30 minutes. Together they build the foundation for AI use that is confident, consistent, and professionally defensible.*

## 01 Audit the AI tools active in your compliance function

Risk and compliance professionals are responsible for governing AI use across their organizations -- yet many have not inventoried the AI tools operating inside their own function. GRC platforms, regulatory monitoring tools, and third-party risk systems all have AI layers that may be making consequential assessments without clear human review checkpoints.

### START HERE

*List the platforms your compliance function uses. For each, identify one AI-generated output that informs a compliance decision. That is your starting inventory of AI exposure inside the function that is supposed to be governing it.*

## 02 Separate the tools you use from the AI you are asked to govern

Compliance professionals face a dual challenge: they use AI tools in their own workflows and they are simultaneously asked to assess AI risk across the organization. Conflating these two roles -- user and assessor -- creates blind spots in both directions. Making the separation explicit is the first step to managing both well.

### START HERE

*Draw a line on paper: AI tools I use in my work versus AI systems I am responsible for assessing or governing. Are the standards you apply to one consistent with what you would expect of the other? The gap between your answers is where the work starts.*

### 03 Find out what your organization's AI policy actually says

Most organizations have an AI policy. Fewer than half of the compliance professionals within those organizations can accurately describe what it says. A compliance professional who cannot describe their own organization's AI policy is not in a position to assess whether others are following it.

#### START HERE

*Locate your organization's current AI use policy. Read it with one question in mind: if an employee came to me today with a question about acceptable AI use, could I answer it from this document? Note the gaps. They are your governance agenda.*

### 04 Identify the three highest AI-risk functions in your organization

Effective AI governance requires risk prioritization. Blanket policies applied equally across all functions miss the exposures that matter most. The functions carrying the highest AI risk are usually those making consequential decisions at scale -- hiring, lending, underwriting, customer triage, medical triage -- where AI errors have regulatory, legal, or human consequences.

#### START HERE

*Name three functions in your organization where AI is most likely to be influencing a decision that carries regulatory, legal, or reputational risk. Those three functions are where your governance attention should be concentrated first.*

### 05 Ask whether your organization could demonstrate AI governance today

Regulators, auditors, and boards are beginning to ask for evidence of AI governance -- not intentions, not policies, but evidence. The compliance professional who has asked this question before the regulator does will have a materially different answer than the one who has not.

#### START HERE

*Ask yourself: if a regulator walked in today and asked for evidence of our AI governance program, what could we hand them? A policy document, a risk register, documented controls, training records? The honest answer to that question is your current governance baseline.*

## QUICK REFERENCE

## Five questions. Five signals.

Keep this page. Return to it when you are about to use AI for consequential professional work.

### BEFORE YOU USE AI FOR PROFESSIONAL WORK

- Am I assessing this AI tool as a user or as a governance professional -- and do I know which role I am in right now?
- Does our AI policy address this specific use case clearly enough to guide an employee decision?
- Can I identify the three highest AI-risk functions in our organization today?
- If asked for evidence of AI governance, what documentation could I produce?
- Have I read any regulatory guidance on AI issued in my sector in the past 12 months?

### SIGNALS WORTH PAYING ATTENTION TO

- You cannot describe what your organization's AI policy says.
- Your compliance function uses AI tools that have not been assessed under your own governance framework.
- You have not identified which organizational functions carry the highest AI risk.
- Your organization has AI governance intentions but no documented controls.
- You have not reviewed any regulatory AI guidance relevant to your sector recently.

These five actions are your starting point. AI Wise for Risk & Compliance Officers takes you significantly further -- covering AI system evaluation frameworks, model risk principles, regulatory expectations, and practical governance approaches that enable compliance functions to provide credible AI assurance to boards and audit committees. Eight modules. Role-specific. Built for how AI actually shows up in risk and compliance work.

### Ready to go further?

Enroll in AI Wise for Risk & Compliance Officers and earn a verifiable credential that documents your AI literacy at a professional level.

[aiwise.training/product/ai-wise-for-risk-compliance-officers/](https://aiwise.training/product/ai-wise-for-risk-compliance-officers/)